

Annex

Table 1: Number of Type 9 licensed corporations headquartered in Hong Kong

	December 09	December 10	December 11	December 12	December 13	September 14
Number of Type 9 licensed corporations headquartered in Hong Kong	423	468	499	554	583	629
Total number of Type 9 licensed corporations	728	798	844	892	950	1 012
Number of Type 9 licensed corporations headquartered in Hong Kong as a percentage of all Type 9 licensed corporations	58%	59%	59%	62%	61%	62%
Total number of licensed corporations	1 608	1 731	1 804	1 897	1 956	2 021
Number of Type 9 licensed corporations headquartered in Hong Kong as a percentage of total number of licensed corporations	26%	27%	28%	29%	30%	31%

Table 2: Number of individuals licensed for asset management (Type 9)

	December 09	December 10	December 11	December 12	December 13	September 14
Number of Type 9 licensed individuals	4 975	5 483	6 184	6 677	7 181	7 670
Total number of licensed individuals	34 345	36 291	37 492	37 222	37 029	37 726
Number of Type 9 licensed individuals as a percentage of total number of licensed individuals	15%	15%	17%	18%	19%	20%

Table 3: Staff in Fund Management Business: by job function

	2009	2010	2011	2012	2013
Sales and marketing	21 634	22 368	23 441	23 903	22 632
Fund administration	1 955	1 961	2 091	2 354	2 603
Asset management	1 207	1 374	1 411	1 534	1 879
Research / analysis	716	945	1 096	1 110	1 233
Dealing and/or trading	595	731	770	836	935
Corporate planning and business management	387	517	561	587	606
Others	1 201	1 407	1 641	1 864	1 946
Total	27 695	29 303	31 011	32 188	31 834