

**The Number of New Applications for Authorisation of Investment Products
SFC Received and Approved Respectively from 2007 to 2010**

Table 1 Number of New Applications Received Each Year from 2007 to 2010

| | 2007 | 2008 | | 2009 | 2010 |
|---|-------------|----------------|-------------|-------------|-------------|
| | | (Q1-Q3) | (Q4) | | |
| Unit trusts and mutual funds | 358 | 535 (479) | (56) | 196 | 224 |
| Investment-linked assurance schemes | 29 | 19 (18) | (1) | 10 | 17 |
| Unlisted structured investment products | 85 | 62 (61) | (1) | 6 | 42 |

Notes

- The above includes new applications received by SFC that may eventually be withdrawn or put on hold at the request of the applicants.
- For unlisted structured investment products, the above represents the number of offering documents in respect of new products only.

Table 2 Number of New Applications Approved Each Year from 2007 to 2010

| | 2007 | 2008 | | 2009 | 2010 |
|---|-------------|----------------|-------------|-------------|-------------|
| | | (Q1-Q3) | (Q4) | | |
| Unit trusts and mutual funds | 257 | 404 (334) | (70) | 195 | 147 |
| Investment-linked assurance schemes | 29 | 18 (18) | (0) | 7 | 7 |
| Unlisted structured investment products | 79 | 64 (64) | (0) | 7 | 17 |

Note

- The applications approved during the relevant period may not necessarily be submitted in the same period (e.g. an application may be submitted in 2008 and authorised in 2009).

Source: Securities and Futures Commission